

C. Brandon Wisoff (State Bar No. 121930)
Eric D. Monek Anderson (State Bar No. 320934)

Farella Braun + Martel LLP

235 Montgomery Street, 17th Floor

San Francisco, California 94104

Telephone: (415) 954-4400

Facsimile: (415) 954-4480

Email: emonekanderson@fbm.com

Email: bwisoff@fbm.com

Antony L. Ryan (*pro hac vice* application forthcoming)

Kevin J. Orsini (*pro hac vice* application forthcoming)

Brittany L. Sukiennik (*pro hac vice* application forthcoming)

Cravath, Swaine & Moore LLP

New York, NY 10019

Telephone: (212) 474-1000

Facsimile: (212) 474-3700

Email: aryan@cravath.com

Email: korsini@cravath.com

Email: bsukiennik@cravath.com

Attorneys for Defendants Robinhood
Financial LLC, Robinhood Securities,
LLC, Robinhood Markets, Inc.

**UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
OAKLAND DIVISION**

DAVID MOODY and JULIE MOODY, on
behalf of themselves and on behalf of all others
similarly situated

Plaintiffs,

vs.

ROBINHOOD FINANCIAL LLC;
ROBINHOOD SECURITIES, LLC;
ROBINHOOD MARKETS, INC.; CITADEL
SECURITIES LLC; CITADEL ENTERPRISE
AMERICAS LLC F/K/A CITADEL LLC,

Defendants.

Case No.: 4:21-cv-00861-HSG

**CERTIFICATION OF INTERESTED
ENTITIES**

The Hon. Haywood S. Gilliam

Defendants Robinhood Markets, Inc.; Robinhood Financial LLC; and Robinhood Securities, LLC, by their attorneys, Farella Braun + Martel LLP and Cravath, Swaine & Moore LLP, pursuant to Federal Rule of Civil Procedure 7.1, disclose as follows:

1. Robinhood Markets, Inc.; Robinhood Financial LLC; and Robinhood Securities, LLC are privately held companies.
2. Robinhood Markets, Inc. does not have a parent corporation.
3. Robinhood Financial LLC and Robinhood Securities, LLC are wholly-owned subsidiaries of Robinhood Markets, Inc.
4. No publicly held corporation owns 10% or more of the stock of Robinhood Markets, Inc.; Robinhood Financial LLC; or Robinhood Securities, LLC.

Pursuant to Civil L.R. 3-15, the undersigned certifies that, in addition to the parties in this action, the following listed persons, associations of persons, firms, partnerships, corporations (including parent corporations) or other entities have a financial or other interest in the subject matter or in a party that could be substantially affected by the outcome of this proceeding:

1. Baiju Bhatt
2. Vladimir Tenev
3. Index Ventures

Dated: March 11, 2021

FARELLA BRAUN + MARTEL LLP

By: /s/ C. Brandon Wisoff
C. Brandon Wisoff

Attorneys for Defendants
ROBINHOOD FINANCIAL LLC, et al.